1 2 3 4 5	PRESTON DuFAUCHARD California Corporations Commissioner ALAN S. WEINGER (CA BAR NO. 86717) Deputy Commissioner MICHELLE LIPTON (CA BAR NO. 178078) Senior Corporations Counsel DEPARTMENT OF CORPORATIONS 320 West 4 th Street, Suite 750 Los Angeles, California 90013-2344 Telephone: (213) 576-7591		
6 7	Attorneys for Complainant		
8	BEFORE THE DEPARTMENT OF CORPORATIONS		
9	OF THE STATE OF CALIFORNIA		
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11	In the Matter of) CASE NO.: 2010110238	
12	THE CALIFORNIA CORPORATIONS)) FILE NO. 923-6045	
13	COMMISSIONER,) ORDER BARRING BRIAN D. GIBBS FROM	
14	Complainant, v.	ANY POSITION OF EMPLOYMENT, MANAGEMENT OR CONTROL OF ANY INVESTMENT ADVISER, BROKER-	
15	BRIAN D. GIBBS,	DEALER OR COMMODITY ADVISER	
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17	Respondent.))	
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21	Pursuant to the Stipulation Agreement entered into between The California Corporations		
22	Commissioner (COMMISSIONER) and Brian D. Gibbs ("GIBBS"), GIBBS is hereby:		
23	1) Barred for 3 years from any position of employment, management or control of any		
24	broker-dealer, investment adviser or commodity adviser pursuant to Corporations Code section		
25	25232.1;		
26	2) Disallowed from petitioning the COMMISSIONER for reinstatement or reduction of		
27	penalty as provided in and subject to the provisions of Government Code section 11522; and		
28	1		
	ORDER BARRING BRIAN D. GIBBS FROM ANY POSITION OF EMPLOYMENT, MANAGEMENT OR CONTROL OF ANY INVESTMENT ADVISER, BROKER-DEALER OR COMMODITY ADVISER		

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2	investment adviser, investment adviser representative or associated person of an investment adviser	
3	or broker-dealer, agent or associated person of a broker-dealer.	
4	Nothing herein shall prevent RESPONDENT from applying for registration as an investment	
5	adviser, investment adviser representative or associated person of an investment adviser in any other	
6	jurisdiction after the expiration of the 3-year bar.	
7	This Order is effective as of the date hereof.	
8	Dated: June 14, 2011 PRESTON DUFAUCHARD California Corporations Commissioner	
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11	By ALAN S. WEINGER	
12	Deputy Commissioner	
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	ORDER BARRING BRIAN D. GIBBS FROM ANY POSITION OF EMPLOYMENT, MANAGEMENT OR CONTROL OF ANY INVESTMENT ADVISER, BROKER-DEALER OR COMMODITY ADVISER	

3) Disallowed from ever applying to become registered with the state of California as an